

# Modeling phonological processes with recursive program schemes

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COLLEGE

NECPhon  
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# Overview

- ▶ **Recursive program schemes (RSs)** study structure and complexity of algorithms (Moschovakis, 2019)
- ▶ We present **boolean monadic RS (BMRS)** phonological grammars that
  - ▶ define a *hierarchy* of local licensing and blocking structures;
  - ▶ directly capture *do X unless Y*-type behavior;
  - ▶ intensionally express phonologically significant generalizations;
  - ▶ are connected to results on computational complexity and learnability (Heinz, 2018);
  - ▶ capture both input and output-based mappings, including opacity

# Overview

- ▶ BMRS provide a glimpse into
  - ▶ The *combined map* as a function (available to OT, not to SPE)
  - ▶ *Individual* functions which interact (available to SPE, not to OT)
- ▶ BMRS offer a framework for describing **operations** (like composition) over individual functions
  - ▶ More intuitive than finite-state and logical formalisms

## BMRSs: Definition

- ▶ An input string is a set of elements  $\{1, 2, \dots, n\}$ 
  - ▶ ordered by **predecessor function**  $p$ , **successor function**  $s$
  - ▶ having some **(input) boolean functions**  $P(x)$

	#	$\sigma$	$\acute{\sigma}$	$\sigma$	$\sigma$	$\sigma$	#
	1	2	3	4	5	6	7
$p(x)$		1	2	3	4	5	6
$s(x)$	2	3	4	5	6	7	
$\#(x)$	<b>T</b>	$\perp$	$\perp$	$\perp$	$\perp$	$\perp$	<b>T</b>
$\sigma(x)$	$\perp$	<b>T</b>	<b>T</b>	<b>T</b>	<b>T</b>	<b>T</b>	$\perp$
$\acute{\sigma}(x)$	$\perp$	$\perp$	<b>T</b>	$\perp$	$\perp$	$\perp$	$\perp$

## BMRSs: Definition

- ▶ Output string defined by **output boolean functions**  $O(x)$

$$\#_o(x) = ?$$

$$\sigma_o(x) = ?$$

$$\acute{\sigma}_o(x) = ?$$

#	$\sigma$	$\acute{\sigma}$	$\sigma$	$\sigma$	$\sigma$	#
1	2	3	4	5	6	7
			↓			
?	?	?	?	?	?	?
1'	2'	3'	4'	5'	6'	7'

(This follows Courcelle 1994; Engelfriet and Hoogeboom 2001)

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#	$\sigma$	$\acute{\sigma}$	$\sigma$	$\sigma$	$\sigma$	#
1	2	3	4	5	6	7
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## Logical syntax

▶ **terms**

$$T \rightarrow x \mid p(T) \mid s(T)$$

$$x, p(x), s(s(x)), p(p(p(x))), \dots$$

▶ **boolean expressions**

$$E \rightarrow \top \mid \perp \mid P(T) \mid \text{if } E \text{ then } E \text{ else } E$$

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$$T \rightarrow x \mid p(T) \mid s(T)$$
$$E \rightarrow \top \mid \perp \mid P(T) \mid \text{if } E \text{ then } E \text{ else } E$$
$$\text{final}(x) = \text{if } \#(s(x)) \text{ then } \top \text{ else } \perp$$



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	1	2	3	4	5	6	7
$\#(x)$	$\top$	$\perp$	$\perp$	$\perp$	$\perp$	$\perp$	$\top$
$\sigma(x)$	$\perp$	$\top$	$\top$	$\top$	$\top$	$\top$	$\perp$
$\acute{\sigma}(x)$	$\perp$	$\perp$	$\top$	$\perp$	$\perp$	$\perp$	$\perp$

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	1	2	3	4	5	6	7
$\#(x)$	$\top$	$\perp$	$\perp$	$\perp$	$\perp$	$\perp$	$\top$
$\sigma(x)$	$\perp$	$\top$	$\top$	$\top$	$\top$	$\top$	$\perp$
$\acute{\sigma}(x)$	$\perp$	$\perp$	$\top$	$\perp$	$\perp$	$\perp$	$\perp$
$\#(s(x))$	$\perp$	$\perp$	$\perp$	$\perp$	$\perp$	$\top$	$\perp$

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	1	2	3	4	5	6	7
#(x)	$\top$	$\perp$	$\perp$	$\perp$	$\perp$	$\perp$	$\top$
$\sigma(x)$	$\perp$	$\top$	$\top$	$\top$	$\top$	$\top$	$\perp$
$\acute{\sigma}(x)$	$\perp$	$\perp$	$\top$	$\perp$	$\perp$	$\perp$	$\perp$
#(s(x))	$\perp$	$\perp$	$\perp$	$\perp$	$\perp$	$\top$	$\perp$
final(x)	$\perp$	$\perp$	$\perp$	$\perp$	$\perp$	$\top$	$\perp$

## BMRSs: Definition

- ▶ We can define the output boolean functions with a **BMRS system of equations**

$$\begin{aligned}O_1(x) &= E_1 \\O_2(x) &= E_2 \\&\dots \\O_n(x) &= E_n\end{aligned}$$

## BMRSs: Definition

$$\begin{aligned}\#_o(x) &= \#(x) \\ \sigma_o(x) &= \sigma(x) \\ \dot{\square}_o(x) &= \text{if } \mathbf{final}(x) \text{ then } \perp \text{ else} \\ &\quad \text{if } \dot{\square}_o(p(x)) \text{ then } \top \text{ else} \\ &\quad \dot{\square}(x)\end{aligned}$$

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$$\acute{\square}_o(x)$$

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1	2	3	4	5	6	7

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$\acute{\square}_o(x)$	$\perp$
------------------------	---------

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	1	2	3	4	5	6	7

---

$\acute{\square}_o(x)$	$\perp$	$\perp$					
------------------------	---------	---------	--	--	--	--	--

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## BMRSs: Definition

$$\begin{aligned}\#_o(x) &= \#(x) \\ \sigma_o(x) &= \sigma(x) \\ \checkmark_o(x) &= \text{if } \mathbf{final}(x) \text{ then } \perp \text{ else} \\ &\quad \text{if } \checkmark_o(p(x)) \text{ then } \top \text{ else} \\ &\quad \checkmark(x)\end{aligned}$$

	#	$\sigma$	$\acute{\sigma}$	$\sigma$	$\sigma$	$\sigma$	#
	1	2	3	4	5	6	7
$\checkmark_o(x)$	$\perp$	$\perp$	$\top$				

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	#	$\sigma$	$\acute{\sigma}$	$\sigma$	$\sigma$	$\sigma$	#
	1	2	3	4	5	6	7
$\acute{\square}_o(x)$	$\perp$	$\perp$	$\top$	$\top$	$\top$		

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	1	2	3	4	5	6	7
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	1	2	3	4	5	6	7
$\#_o(x)$	$\top$	$\perp$	$\perp$	$\perp$	$\perp$	$\perp$	$\top$
$\sigma_o(x)$	$\perp$	$\top$	$\top$	$\top$	$\top$	$\top$	$\perp$
$\acute{\square}_o(x)$	$\perp$	$\perp$	$\top$	$\top$	$\top$	$\perp$	$\perp$

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	1'	2'	3'	4'	5'	6'	7'
	#	$\sigma$	$\acute{\sigma}$	$\acute{\sigma}$	$\acute{\sigma}$	$\sigma$	#

---

## BMRSs: Definition

- ▶ BMRS systems of equations always have a *least-fixed point solution* (Moschovakis, 2019)
- ▶ If restricted to recursing on only  $p(x)$  or  $s(x)$  (but not both), BMRSs describe *subsequential functions* (Bhaskar et al., ms)
- ▶ The syntax expresses a **hierarchy** of **blocking structures** and **licensing structures**

$$\begin{aligned} \dot{\square}_o(x) &= \text{if } \text{final}(x) \text{ then } \perp \text{ else} \\ &\quad \text{if } \dot{\square}_o(p(x)) \text{ then } \top \text{ else} \\ &\quad \dot{\square}(x) \end{aligned}$$

## BMRSs: Input/Output-based mappings

- ▶ Input-based: output boolean functions defined **without recursion**
  - ▶ Compute output by reference to input structure only
  - ▶ **ISL** class of functions



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- ▶ Tianjin tone sandhi ‘RR’ rule (Chen, 1986; Chandlee, 2019)
  - ▶ Inventory: H(igh), R(ising), L(ow), F(alling)
  - ▶ RR → HR (simultaneous, ISL); RRR → HHR

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$$H_o(x) = \text{if } \underline{RR}(x) \text{ then } \top \text{ else } H(x)$$

$$R_o(x) = \text{if } \underline{RR}(x) \text{ then } \perp \text{ else } R(x)$$

$$L_o(x) = L(x)$$

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---

	#	<i>R</i>	<i>R</i>	<i>R</i>	<i>R</i>	#
	1	2	3	4	5	6
<i>H<sub>o</sub>(x)</i>	⊥	⊤	⊤	⊤	⊥	⊥
<i>R<sub>o</sub>(x)</i>	⊥	⊥	⊥	⊥	⊤	⊥

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	1'	2'	3'	4'	5'	6'
	#	<i>H</i>	<i>H</i>	<i>H</i>	<i>R</i>	#

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  - ▶  $LL \rightarrow RL$  (iterative, ROSL)
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  - ▶  $LL \rightarrow RL$  (iterative, ROSL)
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$$R_o(x) = \text{if } \underline{LL}_o R_o(x) \text{ then } \top \text{ else} \\ \text{if } \underline{LL}_o(x) \text{ then } \top \text{ else} \\ R(x)$$

$$L_o(x) = \text{if } R_o(x) \text{ then } \perp \text{ else } L(x)$$

$$H_o(x) = H(x)$$

$$F_o(x) = F(x)$$

## BMRSs: Input/Output-based mappings

$R_o(x)$  = if  $\underline{L}L_oR_o(x)$  then  $\top$  else  
if  $\underline{L}L_o(x)$  then  $\top$  else  
 $R(x)$

$L_o(x)$  = if  $R_o(x)$  then  $\perp$  else  $L(x)$

	#	$L$	$L$	$L$	$L$	#
	1	2	3	4	5	6
$R_o(x)$	$\perp$	$\top$	$\perp$	$\top$	$\perp$	$\perp$
$L_o(x)$	$\perp$	$\perp$	$\top$	$\perp$	$\top$	$\perp$
	1'	2'	3'	4'	5'	6'
	#	$R$	$L$	$R$	$L$	#

## BMRSs: Function Composition

- ▶ BMRS offers intuitive framework for **function composition**
- ▶ Given two BMRS systems of equations  $a$  and  $b$ ,  $b \circ a$  is defined:
  - ▶ In system  $b$ , all non-recursively-defined boolean function names refer to *corresponding* definitions in system  $a$



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- ▶ Given two BMRS systems of equations  $a$  and  $b$ ,  $b \circ a$  is defined:
  - ▶ In system  $b$ , all non-recursively-defined boolean function names refer to *corresponding* definitions in system  $a$
- ▶ Applications in phonological process **interactions**
  - ▶ Tianjin LL (LL  $\rightarrow$  RL) rule **feeds** RR (RR  $\rightarrow$  HR) rule
  - ▶ RLL  $\rightarrow$  RRL  $\rightarrow$  **HRL**
  - ▶ ‘Combined map’ (Chandlee, 2019)
  - ▶ **Compose** two BMRS systems
    - ▶ System  $a$ : LL rule
    - ▶ System  $b$ : RR rule
  - ▶ Can do both easily in BMRS formalism

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$$\begin{aligned} & a \\ R_a(x) &= \text{if } \underline{L}L_a R_a(x) \text{ then } \top \text{ else} \\ & \quad \text{if } \underline{L}L_a(x) \text{ then } \top \text{ else} \\ & \quad R(x) \\ L_a(x) &= \text{if } R_a(x) \text{ then } \perp \text{ else } L(x) \\ H_a(x) &= H(x) \\ F_a(x) &= F(x) \end{aligned}$$

## BMRs: Function Composition

$$\begin{array}{ll} a & b \\ R_a(x) = \text{if } \underline{L}L_a R_a(x) \text{ then } \top \text{ else} & H_b(x) = \text{if } \underline{R}R(x), \text{ then } \top \text{ else } H(x) \\ \text{if } \underline{L}L_a(x) \text{ then } \top \text{ else} & R_b(x) = \text{if } \underline{R}R(x), \text{ then } \perp \text{ else } R(x) \\ R(x) & L_b(x) = L(x) \\ L_a(x) = \text{if } R_a(x) \text{ then } \perp \text{ else } L(x) & F_b(x) = F(x) \\ H_a(x) = H(x) & \\ F_a(x) = F(x) & \end{array}$$

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$$\begin{array}{l} b \circ a \\ H_b(x) = \text{if } \underline{R_a}R_a(x) \text{ then } \top \text{ else } H_a(x) \\ R_b(x) = \text{if } \underline{R_a}R_a(x) \text{ then } \perp \text{ else } R_a(x) \\ L_b(x) = L_a(x) \\ F_b(x) = F_a(x) \end{array}$$

## BMRs: Function Composition

$b \circ a$

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$$L_b(x) = L_a(x)$$

$$F_b(x) = F_a(x)$$

	#	R	L	L	#
$R_b(x)$		$\perp$	$\top$	$\perp$	
$R_a(x)$		$\top$	$\top$	$\perp$	
$H_b(x)$		$\top$	$\perp$	$\perp$	

## Discussion

- ▶ BMRS provide a glimpse into
  - ▶ The *combined map* as a function (available to OT, not to SPE)
  - ▶ *Individual* functions which interact (available to SPE, not to OT)
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    - ▶ Identify *new* operations beside composition



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- ▶ The linchpin: if-then-else syntax
  - ▶ Capture *do X unless Y*-type behavior (as in OT)
  - ▶ Input- *and* output-orientedness

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    - ▶ Further refine definitions
    - ▶ Identify *new* operations beside composition
- ▶ The linchpin: if-then-else syntax
  - ▶ Capture *do X unless Y*-type behavior (as in OT)
  - ▶ Input- *and* output-orientedness
    - ▶ Hierarchy of licensing and blocking structures
    - ▶ Elsewhere condition

## Conclusion

- ▶ Express phonologically significant generalizations with BMRS
- ▶ Equivalent to subsequential class of functions
- ▶ Unique syntax defines hierarchy of local licensing and blocking structures
- ▶ Capture input and output-based mappings
- ▶ Intuitive framework for examining phonological process interaction

# Thank You

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## Appendix 1: Other Operations

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  - ▶ MR rule ( $MR \rightarrow LR$ ), RM rule ( $RM \rightarrow HM$ )
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<b>MR &lt; RM</b>		<b>RM &lt; MR</b>	
<u>MRM</u>	<u>RMR</u>	<u>MRM</u>	<u>RMR</u>
<u>LRM</u>	RLR	MHM	<u>HMR</u>
LHM	*RLR	*MHM	HLR

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- ▶ Interaction is **ISL** (Oakden and Chandlee, 2019)

$$\begin{aligned}L_o(x) &= \text{if } \underline{MR}(x) \text{ then } \top \text{ else } L(x) \\M_o(x) &= \text{if } \underline{MR}(x) \text{ then } \perp \text{ else } M(x) \\H_o(x) &= \text{if } \underline{RM}(x) \text{ then } \top \text{ else } H(x) \\R_o(x) &= \text{if } \underline{RM}(x) \text{ then } \perp \text{ else } R(x) \\F_o(x) &= F(x)\end{aligned}$$

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- ▶ **Not** a result of *composing* two systems MR and RM
- ▶ Composition recreates ordering paradox

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- ▶ New operation ' $\ominus$ '
- ▶ Given two BMRS systems of equations  $a$  and  $b$ ,  $b \ominus a$  is defined:
  - ▶ Identity-map definitions in  $b$  are replaced with corresponding *non-identity* definitions in  $a$
  - ▶ Otherwise, leave the definition the same
  
- ▶ Is  $\ominus$  just *priority union*? (Kaplan, 1987; Karttunen, 1998)

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  - ▶ System  $a$ : RM rule
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  - ▶ System  $a$ : RM rule
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- ▶ Corresponds to **simultaneous application**
  - ▶ Is  $\ominus$  just *priority union*? (Kaplan, 1987; Karttunen, 1998)

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$$L_a(x) = L(x)$$

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